

Prior Authorization Workflow to Standards Task Group Update

National Committee on Vital and Health Statistics
Subcommittee on Standards & Security
July 26, 2005

Philosophy

“ ... this is not an attempt to usurp the coverage decisions of the plans but an effort to streamline and standardize the mechanism for the activity.”

- NCPDP Prior Authorization Workflow-to-Transactions Task Group Member

Task Group Overview

- **Task Group Name:**
 - Prior Authorization Workflow-to-Transactions
- **Date Task Group Formed:**
 - November 18, 2004
- **Task Group Leader(s):**
 - Tony Schueth, MS; Ajit Dhavle, PharmD, MBA
- **Objectives:**
 - Promote standardized automated adjudication of prior authorization
 - Coordinate the further development and alignment of standards
 - Identify additional needed standards

Task Group Members

Bold = Active

Name	Company
Alan Smith	ProxyMed
Andy Fontalbert	ACS
Avi Erlich	Wellpoint
Barbara McKinnon	Point-of-Care Part.
Barbara Hollerung	State of Minn.
Brandon Brylawski, MD	DrFirst
Brian Bamberger	MediMedia
Carolyn Gingras, MS	Lifespan
Cody Wibert	State of Minn.
Colin Halloran	Express Scripts
Darlene Rocco, RPh	Excellus
Dean Rutherford, CPhT	Allscripts
Jason Gottlieb	ExpressScripts
Jeff Mays	MediMedia
John Klimek, RPh	Albertsons
Kathy Finley	Argus
Keith Faigin	EHIM
Lynne Gilbertson	NCPDP
Margaret Weiker	EDS

Name	Company
Michael Van Orum, RN, ,RPH	Am. Home Pt
Nancy Nemes	WebMD
Ned Hanson	HealthNet
Peter Kaufman, MD	DrFirst
Shelly Spiro, RPh	Consultant
Reid Coleman, MD	Lifespan
Richard Stefanicci	USIP
Rohit Nayak	MedPlus
Ross Martin, MD	Pfizer
Sandra Ebel	X12
Sherry Neuman	Consultant
Spencer Rylander	Lifespan
Stacey Barber	EDS, X12
Steve Waldren, MD	AAFP
Stuart Kersky, RPh	Walgreens
Sue Thompson	HL7, West VA
Terry Torgler	Argus
Teri Byrne	RxHub
Tim McNeil	RxHub 4

Task Group Meeting Dates

Date	Purpose
3/15/05	Discussing PA claims attachment
3/23/05	Do we have enough forms?
3/29/05	Kick-off analysis of PA forms
4/12/05	Update, reach out for more forms
4/19/05	Resolve open issues re: analysis
5/3/05	Form analysis progress, next steps
5/11/05	NCPDP Workgroup 11 Meeting
5/24/05	Data Normalization

Task Group Meeting Dates

Date	Purpose
5/31/05	Data Normalization Call
6/7/05	Data Normalization Call
6/14/05	Data Normalization Call
6/21/05	Data Normalization Call
6/28/05	Data Normalization Call
7/12/05	Data Normalization Call, AHRQ
7/19/05	Criteria Presentation Discussion

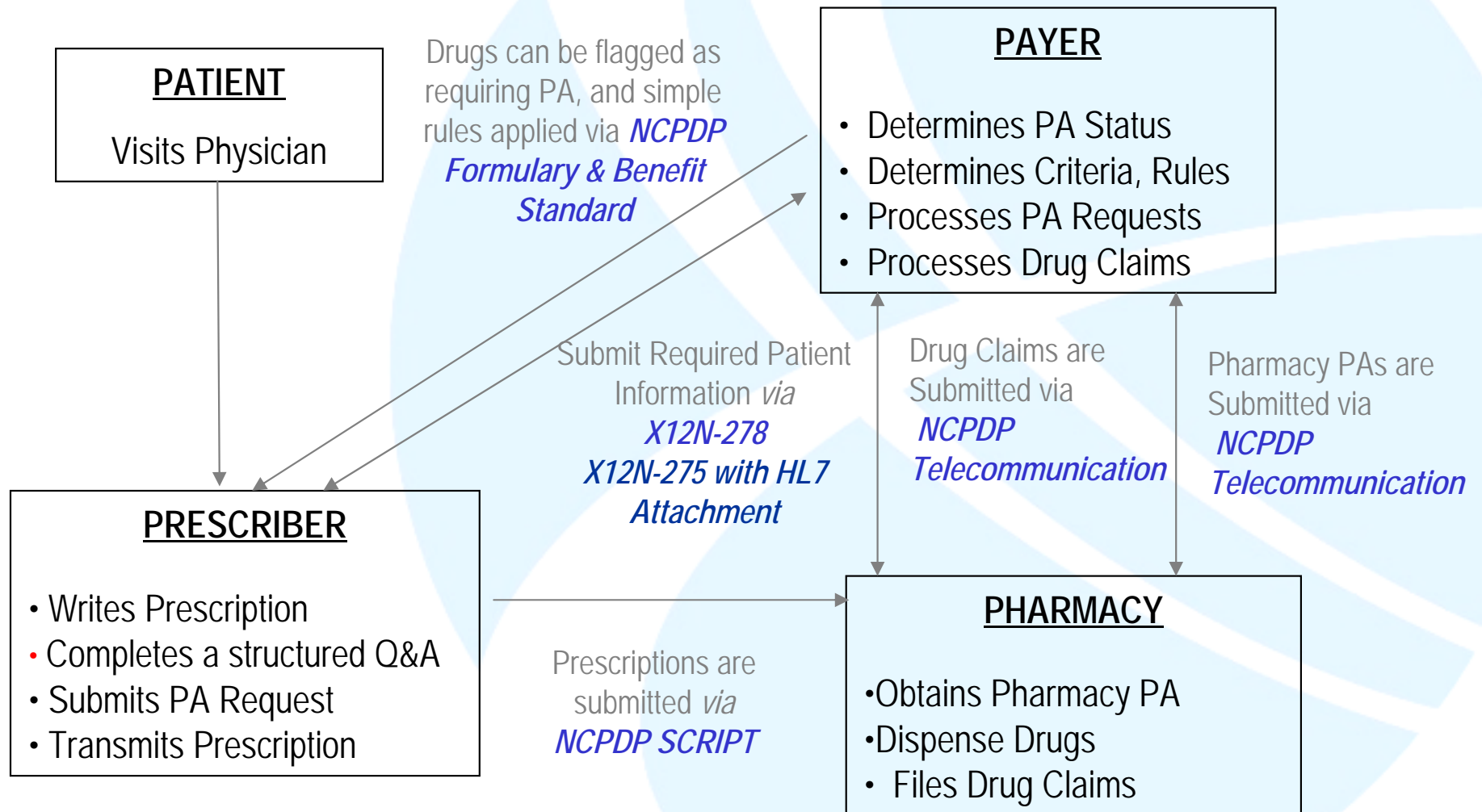
Task Group Decisions

- Analyze more plans in more therapeutic categories
- Complete analysis as close to plan intention as possible
 - By drug or therapeutic category, depending
 - Recorded decision tree
 - Logged information outside of drug, criteria/questions
- Normalize as a task group (vs sub-task group) but have asked the following to be sure and participate: MDs; RPh; plans; HL7, X12 experts
- Decided to have just one PA claims attachment
 - May use other attachments if additional information is needed (lab values)
- Drug- or therapeutic-level criteria to be transmitted in response to initial PA request

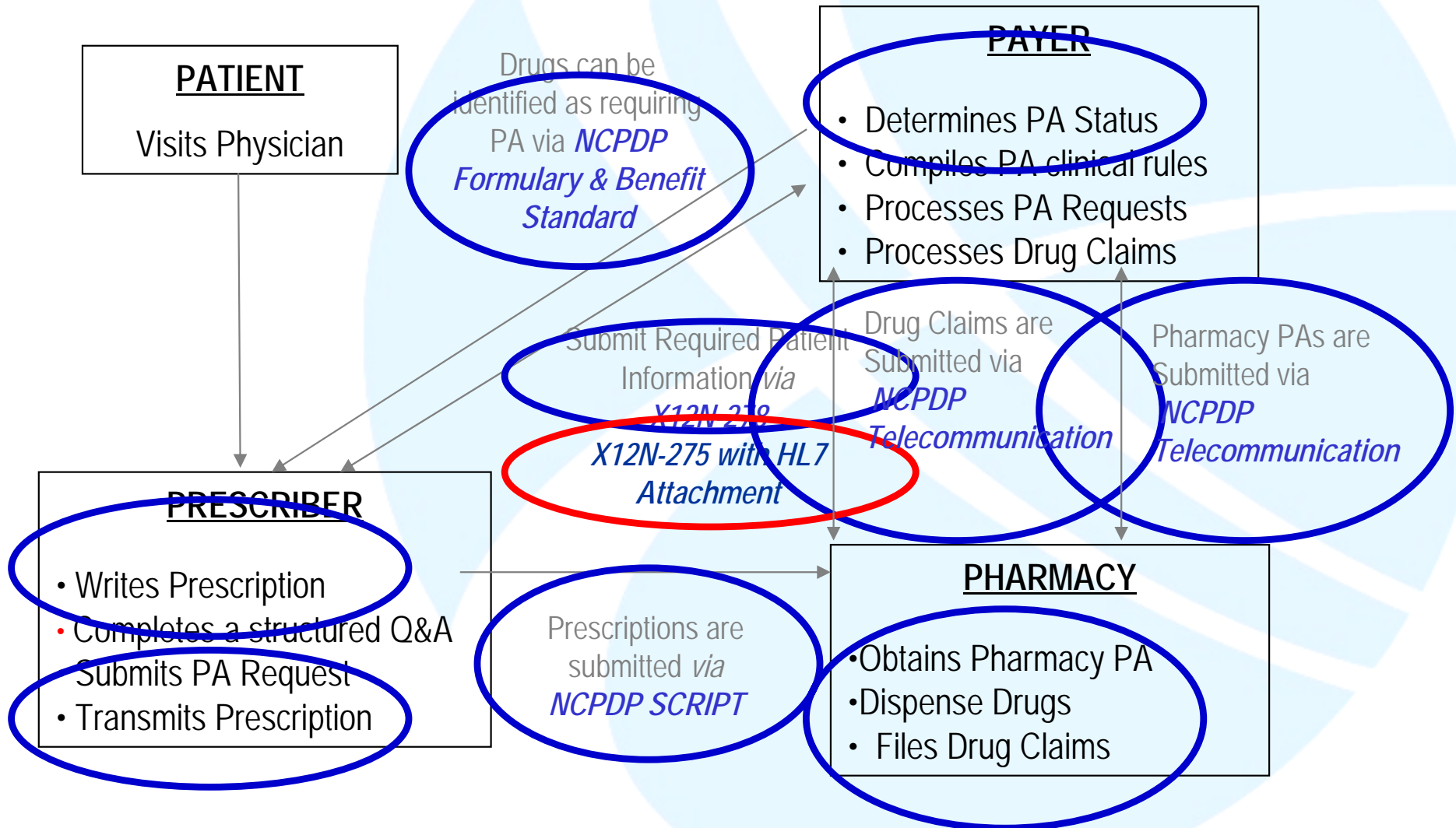
Task Group Accomplishments

- Drafted PA claims attachment
- Secured AHRQ funding to complete analysis of PA forms/rules
- Created database to record analysis of industry forms
- Analyzed 350 forms / 1,750 questions / 53 PBMs or plans
- Normalized data in the following therapeutic categories:
 - Erectile Dysfunction
 - Antihistamines
 - PPI
 - AntiFungals
 - Cox2s

What is the proposed workflow?



What Will Be Ready for Pilot?



Timeline

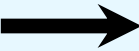
3Q05

4Q05

1Q06

2Q06

HL7

-PA Attachment	Convert data and build info spec	Public comment on AIS booklet	AIS booklet goes to ballot	Adjudicate ballot at 1/8 to 1/11 mtng
-GELLO Analysis	Submit Funding Request	Analyze syntax, ID gaps in HL7 RIM	Dev Test Plan, Use Cases, Request RIM Modifications	Code & Test Use Cases, Distribute Results
-GELLO Interfaces	Submit Funding Request	ID Resources	Code & Test Use Cases	Anticipated completion 4Q06 

X12N

-278	Public comment closes 7/22	Vote to publication 9/25 to 9/30		
-275		Public comment	Take to ballot	Open forum and vote 2/5 to 2/10

NCPDP

- Form. & Benefits	Adjudicate ballot 8/17 to 8/18	To Board of Trustees for approval	Submit to ANSI	
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Next Steps

- Complete PA data normalization for key, all therapeutic categories
- Put data into format required by HL7
- Complete harmonization of NCPDP & NMEH (Medicaid Attachment Workgroup)-defined requirements.
- Update the X12 278 and 275 workgroups and move the 275 to public comment and ballot
- HL7 development of the Additional Implementation Specification (AIS) booklet
- HL7 ballot of the AIS
- Long-term care needs to determine the impact of PA to them, and how to streamline their processes
- May need a face-to-face meeting

Problem List

- Code sets for drug allergies
- No code sets for outcomes for previous failed therapy
- Inconsistent classification system for PA
 - Some plans use therapeutic category, others drug, still others a generic form
 - Consensus is to encourage drug-specific criteria vs generic forms, but
- No industry consensus on therapeutic categories
- Insufficient standardized, structured way to present criteria, rules on clinical software systems

Issues to Resolve

- Home of PA questions/criteria superset.
 - Documentation/Implementation Guide needs to be developed
- What process will be used to keep criteria updated?
- How will new questions/criteria be added?
- Some plans may be comfortable with some rules being presented on clinical systems. How do we facilitate that?

What can HHS do to help?

- Central Information Code Set Repository
- Support development of GELLO
 - Additional funding to develop compiler and interfaces to different database