



CENTER FOR MEDICAID AND CHIP SERVICES

State Medicaid Agencies Achieving a Successful ICD-10 Transition

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Discussion Points



- **CMS Timeline**
- **Technical Assistance Overview**
- **Critical Success Factors**
- **Testing**
- **Risk Mitigation**
- **2014 Technical Assistance Focus**
 - Monitoring and Oversight
 - Training
- **Concluding Comments**

SMA High Level ICD-10 Implementation Timeline



AWARENESS

- Awareness, Communication, and Education/Training

Sep 2010 – Mar 2015

ASSESSMENT

- Plan for ICD-10 Activities
- Perform an Impact Assessment
- Develop a Remediation Strategy
- Finalize APDs

Sep 2010 – Jun 2012

REMEDIATION

- Develop Change Requests and Requirements
- Develop Policy Updates, Process Updates, and System Updates

Jun 2011 – Dec 2013

Current Phase



TESTING

- System Testing -Intra and Inter System
- Remediation Fix & Test
- Conduct External End-to-End Testing

Oct 2012 – Sep 2014

TRANSITION

- Initiate operations in ICD-10 environment

Mar 2014 – Oct 2014

Technical Assistance Overview



- **Dedicated team of SMEs supporting SMAs' ICD-10 readiness since 2009**
- **Technical Assistance focus areas in concert with the CMS ICD-10 implementation timeline**

Major initiatives

- Artifacts (Guides, Tools, Resources): with input from Medicaid Medical Directors Learning Network , WEDI, AAPC as appropriate
- Assistance in Collaboration: Forums, Communities of Practice, SMA Website
- Ongoing Measurement, Analysis, and Reporting of SMA readiness status
- To date, completed 57 in-depth training sessions/workshops conducted at CMS Regional Offices and individual State Medicaid Agencies

Completed On-Site Training by State



CMS Defined Critical Success Factors (CSF)



■ Critical Success Factors SMAs *must* be able to perform by October 1, 2014

1. Accept electronic claims with ICD-9/10 codes based on DoS/DoD
2. Adjudicate claims
3. Pay providers (institutional, professional, managed care)
4. Complete Coordination of Benefits
5. Create and send Medicaid Statistical Information System (MSIS) and Transformed- MSIS (TMSIS) reports

■ All SMAs are required to develop a CSF risk mitigation plan

■ SMA required testing centers around the CSFs; CMS is monitoring SMAs ability to perform each CSF, and the status of their risk mitigation plans

Testing



- **January 1, 2014 - official start of the testing phase for SMAs in accordance with the ICD-10 project timeline**
- **SMAs report to CMS quarterly the status of their test plans, internal and external testing progress**
- **CMS will provide SMAs with test data and testing support services; final plans are in progress**
- **Entities that need to test with SMAs should contact them to determine where they are in this process**

Risk Mitigation Planning



Formal SMA Risk Mitigation Plan Requirements:

- **Ability to perform 5 critical business operations on October 1, 2014 (noted previously)**
- **Ability to mitigate impact of provider non-compliance**
 - SMAs consistently report concern with provider readiness
 - SMAs are utilizing a number of venues to engage providers and track their ICD-10 readiness
 - SMAs are required to report to CMS the status of stakeholder engagement quarterly
- **Ability to identify changes in claims performance early in ICD-10 transition**
 - CMS is working with SMAs to determine best method to monitor claims performance early in ICD-10 transition period

SMA Technical Assistance 2014 Focus Areas



- **Testing & Risk Mitigation Planning**
- **Monitoring and Oversight**
 - Quarterly SMA self-reported readiness assessments
 - Detailed 1-on-1 conference calls with each SMA
 - Tracking of SMAs progress against five Critical Success Factors
 - Discovery informs CMS technical assistance focus
- **Continued Technical Support**
 - Training
 - Regional Offices Workshops (all SMAs to attend)
 - 5 Medicaid States with largest Medicaid population
 - Targeted SMAs
 - Ongoing support of work products and initiatives

2014 Planned On-Site Training



Concluding Comments



- **SMA stakeholder engagement is a key requirement for all SMAs**
 - SMAs report to CMS the status of stakeholder engagement quarterly
 - CMS does not disclose state-specific project status; stakeholders are encouraged to reach out to SMAs that are business partners to coordinate ICD-10 project efforts

- **Many artifacts developed for SMAs publically available via CMS ICD-10 website: <http://www.cms.gov/Medicare/Coding/ICD10/index.html>**

- **CMS is committed to supporting SMAs at all levels of readiness**