



National Committee on Vital and Health Statistics
Advising the HHS Secretary on National Health Information Policy

NCVHS Workgroup on Timely and Strategic Action to Inform ICD-11 Policy

Update

November 30, 2023

ICD-11 Background



- **ICD-11 adopted by World Health Organization (WHO) in 2019**
- **ICD-11 became effective** beginning January 1, 2022
- **Three components of ICD-11 use:**
 - Mortality
 - U.S. adoption is a requirement of membership in WHO; non-discretionary
 - Morbidity for U.S. health care and public health
 - Morbidity for U.S. health care billing and payment
 - U.S. adoption would have to be as a HIPAA-mandated medical code set

Earlier NCVHS ICD-11 Activities



- August 2019 NCVHS held an Expert Roundtable Meeting
- November 2019, NCVHS recommended that HHS:
 - Evaluate the impact of different approaches to the transition and implementation of ICD-11 in the United States for mortality and morbidity classification to guide policy and decision-making
 - Provide timely leadership on strategic outreach and communications to the U.S. healthcare industry about the transition to ICD-11
- September 2021, NCVHS recommended that HHS:
 - Conduct research to evaluate the impact of different approaches to the transition to and implementation of ICD-11.
 - Conduct outreach and communicate regularly to the U.S. healthcare industry about the ICD transition.

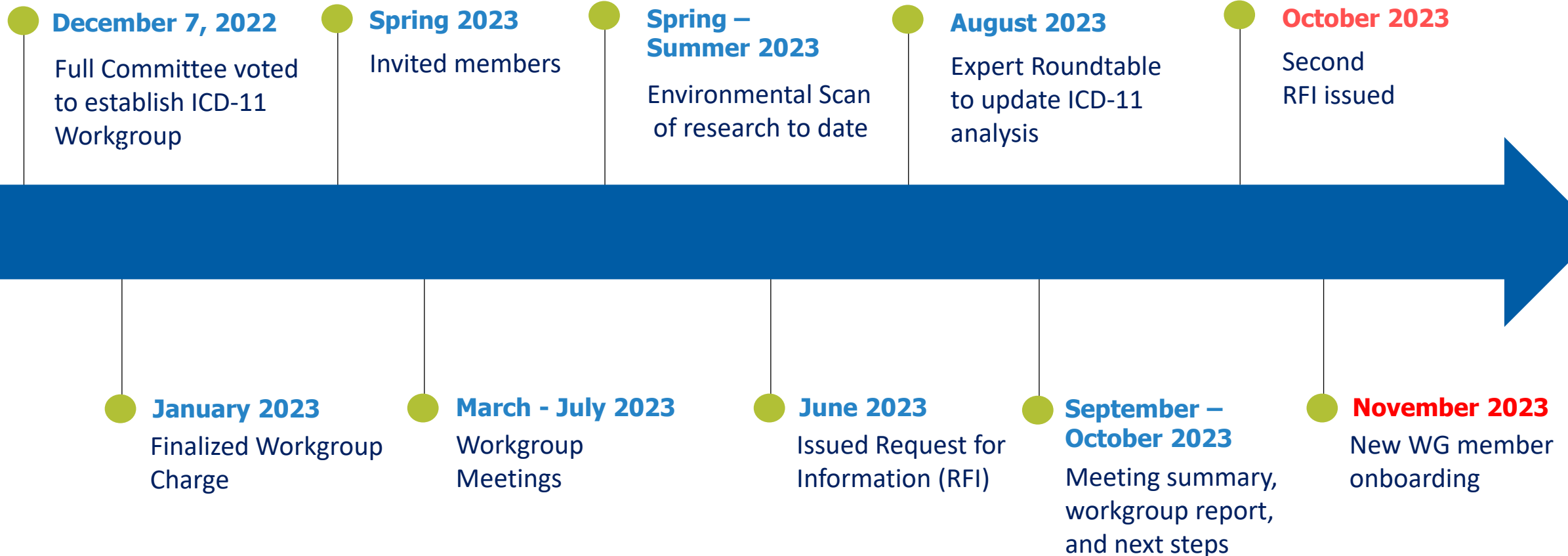
NCVHS Goals for U.S. Implementation



Develop advice/recommendations to HHS regarding adoption of ICD-11 as a HIPAA code set to help HHS and the U.S.:

- Avoid a repeat of the protracted and costly U.S. transition from ICD-9 to ICD-10 by developing a shared understanding of lessons from the ICD-10 planning process/transition, and understanding differences between ICD-10 and ICD-11.
- Conduct research to inform a relatively smooth transition from ICD-10 to ICD-11 for morbidity coding.
- Identify work needed to avoid the need for a Clinical Modification.
- Identify key topics and messages to communicate to the industry to foster early stakeholder engagement and preparation for the transition to ICD-11.

ICD-11 Workgroup Timeline



ICD-11 WG Phase 1 Key Events



- **First RFI issued June 13, 2023**
 - Deadlines created limited time for public response
 - Received 18 responses from a range of organizations and individuals
 - Posted at: <https://ncvhs.hhs.gov/wp-content/uploads/2023/07/RFI-Responses-NCVHS-Workgroup-on-Timely-and-Strategic-Action-to-Inform-ICD-11-Policy.pdf>
- **August 3, 2023:** Expert Roundtable Meeting to support the Committee with updated ICD-11 analysis and findings
 - Input from RFI responses and environmental scan analysis
 - Expert stakeholder input from many diverse perspectives and interests

Expert Roundtable Themes



- ICD-11 presents opportunities supporting modernization, potential for burden reduction, and automation for transformation to a 21st century digital healthcare data infrastructure
- Coordinated governance and funding are needed
- ICD-11 maintenance processes will have to be well understood and managed for the U.S.
- More ICD-11 content analysis is needed to assess implementation approaches
- Stakeholder understanding of technical implementation costs is lacking
- The role of ICD-11 in clinical documentation use cases, and other new uses beyond existing ICD-10-CM use cases, should be analyzed more comprehensively
- Education and workforce challenges and changes could be profound